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ATTORNEY DOCKET NO. PARE.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Balint, *et al.* ) Examiner: Not yet assigned  
Serial No.: 09/764,163 ) Art Unit:  
Filed: January 16, 2001 )  
Title: **CIRCULARLY PERMUTATED,** ) **INFORMATION DISCLOSURE**  
**INTERACTION-ACTIVATED** ) **STATEMENT TRANSMITTAL**  
**PROTEINS** )

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is:

- ☒ An Information Disclosure Statement.
- ☒ A PTO-1449.
- ☐ An International Search Report.
- ☐ Copies of references listed on the PTO-1449 form.
- ☒ Return postcard (postage prepaid).

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Label No.: EL737340620US  
Date of Deposit: 5-9-01

I hereby certify under 37 C.F.R. 1.10 that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231.

(Signature) Francis Guerny  
(Printed Name)

1. ☒ This Information Disclosure Statement is filed before the later of:
  - ☐ three months from the filing date of this national application;
  - ☐ three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or
  - ☒ the mailing date of a first office action on the merits.

☒ In accordance with 37 C.F.R. § 1.97(b), no fee is required.
2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 2, but before either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(c) also enclosed is:

  - ☐ a fee under 37 C.F.R. § 1.17(p) in the amount of \$240; or
  - ☐ a certification as stated below.
3. ☐ This Information Disclosure statement is filed before the payment of the issue fee, but after either:
  - (1) a final action under 37 C.F.R. § 1.113; or
  - (2) a Notice of Allowance under 37 C.F.R. § 1.311.

☐ In accordance with 37 C.F.R. § 1.97(d) also enclosed is:

  - (1) A certification, as stated below;
  - (2) A Petition requesting consideration of the Information Disclosure Statement; and
  - (3) The petition fee set forth in 37 C.F.R. § 1.17(i)(1) in the amount of

\$130.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

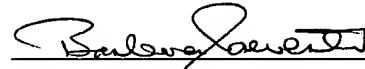
The fees indicated above are:

**TOTAL FEES: \$**

- ☐ A check including the amount of the above-indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 18-0020 in the amount of: \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached.
- ☒ No fee is required.
- ☒ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 18-0020.
- ☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 C.F.R. § 1.17.
- ☒ A duplicate copy of this sheet is attached for accounting purposes.

Respectfully submitted,

Dated: May 2, 2001



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PATENT

ATTORNEY DOCKET NO. PARE.002.02US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Balint, *et al.*

Serial No.: 09/764,163

Filed: January 16, 2001

For: **CIRCULARLY PERMUTATED  
INTERACTION-ACTIVATED PROTEINS**

) Examiner: Not yet assigned

) Art Unit:

) **INFORMATION DISCLOSURE**

) **STATEMENT**

**BOX DD**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention.

Copies of missing references C1-C17 will be submitted under separate cover upon our receipt.

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" Label No.: EL73734062005

Date of Deposit: 5-9-01

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Francis Guerra  
(Signature)

(Printed Name)

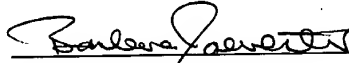


Applicant makes no representation that a complete search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material.

The subject application is believed patentable over any of the above references.

Respectfully submitted,

Dated: May 2, 2001

  
Barbara Rae-Venter, Ph.D.  
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PATENT

DOCKET NO. PARE.002.02US



COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 1 of 2

In re the application of: Balint <i>et al.</i>	]	Art Unit:
	]	
Serial No. 09/764,163	]	Examiner: Not Yet Assigned
	]	
Filed: January 16, 2001	]	

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	Filing Date
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FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Filing Date
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials
C1	Blackwood and Eisenman, <i>Science</i> (1991) 251:1211.
C2	Defeo-Jones <i>et al.</i> , <i>Nature</i> (1991) 352:251.
C3	Fields and Song, <i>Nature</i> (1989) 340:245.
C4	Chien <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1991) 88:9578.
C5	Zervos <i>et al.</i> , <i>Cell</i> (1993) 72:223.
C6	Vojtek <i>et al.</i> , <i>Cell</i> (1993) 74:205.
C7	Luban <i>et al.</i> , <i>Cell</i> (1993) 73:1067.
C8	Bartel <i>et al.</i> , <i>Nature Genetics</i> (1996) 2:72.
C9	Fromont-Racine <i>et al.</i> , <i>Nature Genetics</i> (1997) 3:277.

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PATENT

DOCKET NO. PARE.002.02US



COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)  
Sheet 2 of 2

In re the application of: Balint <i>et al.</i>	}	Art Unit:
	}	
Serial No. 09/764,163	}	Examiner: Not Yet Assigned
	}	
Filed: January 16, 2001	}	

C10	Xu <i>et al.</i> , <i>Proc. Natl. Acad. Sci</i> (1997) 94:12473.
C11	Bartel <i>et al.</i> , <i>Biotechniques</i> (1993) 14:920.
C12	Krebber <i>et al.</i> , <i>J. Mol. Biol.</i> (1997) 268:607.
C13	Cubitt <i>et al.</i> , <i>Trends Biochem</i> (1995) 20:448.
C14	Rossi <i>et al.</i> , <i>Proc. Natl. Acad. Sci</i> (1997) 94:8405.
C15	Pelletier <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1998) 95:12141.
C16	Karimova <i>et al.</i> , <i>Proc. Natl. Acad. Sci.</i> (1998) 95:5752.
C17	Pieper <i>et al.</i> <i>Biochemistry</i> , (1997) 36: 8767-8774.

Examiner:

Date Considered:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.